



April 19, 2007

Date

TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application No.	10/521,615
			Filing Date	January 14, 2005
			First Named Inventor	Peter ROGALL
		Art Unit		
			Examiner Name	
Total Number of Pa	ges in This Submissior	6	Attorney Docket Number	6097P058
	ENCLOS	SURES (chec	k all that apply)	
Fee Transmittal	Form	Drawing(s)		After Allowance Communication to TC
Fee Attacl	hed .	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / Re	eply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
	After Final Affidavits/declaration(s)		Convert a Application	Proprietary Information
Extension of Tim	ne Request	Power of Attorney, Revocation Change of Correspondence Address		Status Letter
Express Abandonment Request		Terminal D	isclaimer	Other Enclosure(s) (please identify below):
Information Disclosure Statement		Request fo	r Refund	Return Receipt Postcard (1); Copies of Cited Art (4).
PTO/SB/08		CD, Numbe	er of CD(s)	
Certified Copy of Priority Document(s)		Lands	cape Table on CD	
Response to Mis	ssing Parts/ ication	Remarks	1 .	
Basic Filing Fee Declaration/POA			·	
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Michael J. Mallie, Reg. No. 36			6,591	
Individual name BLAKELY, SOKOLOFI		OKOLOFF,	TAYLOR & ZAFM	AN LLP
Signature				
Date April 19, 2007				
CERTIFICATE OF MAILING/TRANSMISSION				
I hereby certify that thi sufficient postage as f 1450, Alexandria, VA	irst class mail in an env	eing deposited wit velope addressed	h the United States Postal to: Mail Stop Amendment,	Service on the date shown below with Commissioner for Patents, P.O. Box
Typed or printed name   Kelly Patterson				

Signature

APR 2 3 2007			
TRANSM	ITTAL	Application Number	omplete if Known 10/521,615
for FY 2006  Patent fees are subject to annual revision.  Applicant claims small entity status. See 37 CFR 1.27.		Filing Date	January 14, 2005
		First Named Inventor	Peter ROGALL
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	(\$)	Art Unit Attorney Docket No.	6097P058
METHOD OF PAYMENT (check	all that apply)		
☐Check ☐Credit card ☐ Money	Order None	Other (please identify):	
Deposit Account Deposit Accoun	nt Number: <u>02-2666</u>	Deposit Account Name: B	lakely, Sokoloff, Taylor & Zafman LLP

## For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid (\$) Code (\$) Code 1051 2051 130 65 Surcharge - late filing fee or oath 1052 50 2052 <sup>25</sup> Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 120 2251 1251 60 Extension for reply within first month 2252 1252 450 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 2255 1255 2.160 1,080 Extension for reply within fifth month 500 2401 1401 <sup>250</sup> Notice of Appeal 1402 500 2402 <sup>250</sup> Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 2451 1,510 Petition to institute a public use proceeding 130 2460 1460 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(g) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) SUBTOTAL (2) (\$)

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Michael J. Mallie	Registration No. (Attorney/Agent)	36,591	Telephone	(408) 720-8300
Signature	7			Date	04/19/07

Docket No.: 6097P058



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

PETER ROGALL, ET AL.

Application No.: 10/521,615

Filed: January 14, 2005

For: WIND POWER PLANT AND BEARING

ARRANGEMENT THEREFOR

Art Group:

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 6097P058

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 19, 2007

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kelly Patterson

04-19-07

Date

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

	Complete if Known	
Application Number	10/521,615	
Filing Date	January 14, 2005	
First Named Inventor	Peter ROGALL	
Art Unit		
Examiner Name		
Attorney Docket Number	6097P058	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	Α.	German Patent dated January 26, 2007 (3 pages).			
	B.	German Patent dated April 9, 1943, Reichspatentamt, Patentschrift; No. 734147 (4 pages).			
	C.	German Patent, Patentschrift, No. AT 403 189 B (6 pages).			
	D.	German Patent, Offenlegungsschrift, No. DE 44 32 986 A1 (6 pages).			
		·			
·					

Examiner	Date	
Signature	Considere	d

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.